

**CUSC - EXHIBIT U**

**THE CONNECTION AND USE OF SYSTEM CODE**

**TRANSMISSION EVALUATION APPLICATION  
USER THAT OWNS OR OPERATES A DISTRIBUTION SYSTEM**

**PLEASE STUDY THE FOLLOWING NOTES BEFORE COMPLETING AND SIGNING THIS APPLICATION FORM.**

- 1 **The Company** requires the information requested in this application form for the purposes of assessing the impact of a **Relevant Embedded Medium Power Station** or a **Relevant Embedded Small Power Station** (or where the User chooses, a number of **Relevant Embedded Medium Power Stations** or a **Relevant Embedded Small Power Stations** in which case this application form shall be completed and construed accordingly) upon the **National Electricity Transmission System**. It is essential that the **User** submitting this **Transmission Evaluation Application** should supply all information requested in this application form and that every effort should be made to ensure that such information should be accurate. Please note certain information provided in this application may be used in accordance with Paragraph 6.35.

This **Transmission Evaluation Application** (together with the appropriate fee), shall be deemed to be **Modification Application** for the purposes of the **Charging Statements** and for Paragraphs 1.3.2, 6.9.2, 6.9.3 and 6.10 of the **CUSC** which shall apply.

- 2 Please note that certain expressions which are used in this application form are defined in the Interpretation and Definitions (contained in Section 11 of the **CUSC**) and when this occurs the expressions have capital letters at the beginning of each word and are in bold.
- 3 Should **The Company** consider that any information provided is incomplete or unclear or should **The Company** require further information in order that it may assess the impact of a **Relevant Embedded Medium Power Station** or a **Relevant Embedded Small Power Station** upon the **National Electricity Transmission System**, the **User** submitting this **Transmission Evaluation Application** will be requested to provide further information or clarification.
- 4 Should there be any change in any information provided by the **User** submitting this **Transmission Evaluation Application** after it has been submitted to **The Company**, the **User** requesting this must immediately inform **The Company** of such a change.
- 5 **The Company** shall charge and the **User** submitting this **Transmission Evaluation Application** shall pay to **The Company**, **The Company's** Engineering Charges in relation to the assessment. An advance will be charged by **The Company** in accordance with the **Charging Statements**. No **Transmission Evaluation Application** will be considered until such advance has been paid. The balance of **The Company Engineering Charges** shall be notified and invoiced by **The Company** to the **User** submitting this **Transmission Evaluation Application** together with a breakdown of such charges and the **User** submitting this **Transmission Evaluation Application** shall pay the same within 28 days of the date of **The Company's** invoice. In the event

that the advance and any other payments exceed the appropriate **The Company Engineering Charges** the excess shall be repaid forthwith to the **User** submitting this **Transmission Evaluation Application**.

- 6 The effective date upon which the application is made shall be the later of the date when **The Company** has received the advance application fee pursuant to Paragraph 5 above or the date when **The Company** is reasonably satisfied that the **User** submitting this **Transmission Evaluation Application** has completed Sections AD. **The Company** shall notify the **User** submitting this **Transmission Evaluation Application** of such date.
- 7 **The Company** will assess the **Transmission Evaluation Application** in accordance with the terms of Paragraph 6.5.5 (**Transmission Evaluation Application**) and (where applicable) Paragraph 6.9 (**Modifications**) and Paragraph 6.10 (**Modifications** and **New Connection Sites**) of the **CUSC** and the **ESO Licence**.
- 8 **The Company** will assess the **Transmission Evaluation Application** as soon as is reasonably practicable and, in any event, (unless there are no works required on the **National Electricity Transmission System**) will respond to the **User** with a **Gated Modification Offer or Connection Offer** in accordance with the **Gated Application and Offer Process** or such later period as the **Authority** agrees to.
- 9 In the course of processing your **Transmission Evaluation Application**, it may be necessary for **The Company** to consult the appropriate **Distribution System Operator(s)** on matters of technical compatibility of the **National Electricity Transmission System** with their **Distribution System(s)** or to consult the **Relevant Transmission Licensees** to establish the works required on the **National Electricity Transmission System**. On grounds of commercial confidentiality **The Company** shall need your authorisation to the release to the **Distribution System Operator(s)** or the **Relevant Transmission Licensees** of certain information contained in your application. Any costs incurred by **The Company** in consulting the **Distribution System Operator(s)** or **Relevant Transmission Licensees** would be included in the **The Company Charges** for the application. If it is found by the **Distribution System Operator(s)** that any work is required on their **Distribution System(s)**, then it will be for the **Distribution System Operator(s)** and the **User** submitting this **Transmission Evaluation Application** to reach agreement in accordance with Paragraph 6.10.3 of the **CUSC**.
- 10 If the **User** submitting this **Transmission Evaluation Application** is not already a **CUSC Party** the **User** submitting this **Transmission Evaluation Application** will be required as part of this application form to undertake that they will comply with the provisions of the **Grid Code** for the time being in force. Copies of the **Grid Code** and the **CUSC** are available on the **The Company** website and the **User** submitting this

**Transmission Evaluation Application** is advised to study them carefully. Further copies are available on payment of **The Company's** copying charge, postage and packing. **Data** submitted pursuant to this application shall be deemed submitted pursuant to the **Grid Code**.

- 11 Any **Gated Modification Offer** or **Connection Offer** made following this **Transmission Evaluation Application** will be based to the extent appropriate upon its standard form terms for a **Gated Modification Offer** or **Connection Offer** and the **Charging Statements**.
- 12 The Applicant has the ability to choose whether they wish to apply for a **Transmission Evaluation Application** on a fixed price or variable price application fee basis. Fixed price application fee is derived from analysis of historical costs of similar applications. Variable price application fee is based on an advance of the Transmission Licensee's Engineering and out of pocket expenses and will vary according to the size of the scheme and the amount of work involved. Applicants are requested to indicate their preferred basis in the **Transmission Evaluation Application**. Applicants are advised that further information (including circumstances when the application fee may be refunded under the Statement of Use of System Charging Methodology) can be obtained from the Charging Statements which can be found on the **Website**. If Applicants require further assistance they are recommended to contact **The Company's** Headquarters', where our staff will be pleased to help.
- 13 Please complete this application form in black print and return it duly signed to **The Company**, contact details on the **Website**.

For the most up to date contact details **Users** submitting this **Transmission Evaluation Application** are advised to review the **The Company** website.

**A. DETAILS OF USER  
SUBMITTING THIS  
TRANSMISSION EVALUATION  
APPLICATION**

1. Name: .....  
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2. Address: .....  
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.....  
.....
3. Registered Office/Address (including e-mail address for CUSC notices and Registration Number): .....  
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.....
4. Name, title and address of contacts for the purposes of this application, giving description of the field of responsibility of each person:  
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.....  
.....
5. If **User submitting this Transmission Evaluation Application** is an agent, please give name(s) and address(es) of person(s) for whom the **User** submitting this **Transmission Evaluation Application** is acting:  
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## **B THE PROPOSED POINT OF CONNECTION TO A DISTRIBUTION SYSTEM**

1. Please identify (preferably by reference to an extract from Ordnance Survey Map) the intended location of the **Plant** and **Apparatus** (the "User Development") which it is desired should be connected to the **Distribution System**.

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2. Please identify the intended **Grid Supply Point** through which that part of the **User's Distribution System** to which the **Relevant Embedded Medium Power Station** or **Relevant Embedded Small Power Station** is connected, connects to the **National Electricity Transmission System**.

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## **C TECHNICAL INFORMATION**

Please provide the **Data** listed in Part 1 of the Appendix to the **Planning Code** in respect of the relevant **Distribution System** and the **Embedded Power Station** to the extent that the data will change from previously submitted Committed Project Planning Data or Connected Planning Data. Note: the **Data** concerned form part of the **Planning Code** and **Data Registration Code**. **User** submitting this **Transmission Evaluation Application** should refer to these sections of the **Grid Code** for an explanation.

## **D PROGRAMME**

Please provide the anticipated date when the **Embedded Power Station(s)** will have its connection **Energised** and/or commence use of the **Distribution System**

## TRANSMISSION EVALUATION APPLICATION

Please study the notes before completing and signing this application form.

- 1 We hereby submit this **Transmission Evaluation Application** in respect of [.....] **Embedded Power Station** that is connecting to and/or using [.....]**Distribution System**.
- 2 We will promptly inform **The Company** of any change in the information given in this **Transmission Evaluation Application** as quickly as practicable after becoming aware of any such change.
- 3 If we are not already a **CUSC Party** we undertake for the purposes of this application to be bound by the terms of the **Grid Code** from time to time in force and to sign a **CUSC Accession Agreement**.
- 4 We authorise the release of certain information, on the grounds of commercial confidentiality, to the appropriate operators of **Distribution System's** or **Relevant Transmission Licensees** should it be considered necessary.
- 5 We confirm that we do/do not meet the **Approved Credit Rating** and **The Company Credit Rating**.
- 6 We confirm that we are applying in the category of **Distribution System** directly connected to the **National Electricity Transmission System**.
- 7 We confirm that as part of this application we want to introduce the **Transmission Impact Assessment** process into the **Bilateral Connection Agreement**.

Signed:

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For and on behalf of the Applicant

Date:.....

**END OF EXHIBIT U**